

Chapter 30

Type Inference

30.1 Inferring Types

We've seen the value of having explicit polymorphism in our language—it lets us write programs that work on many different types of values. Even mainstream languages like C++ and, more recently, Java have recognized the value of this form of parametric polymorphism, and they have noticed that it complements and is not subsumed by the polymorphism common to object-oriented languages (called *subtype polymorphism*).

Despite its benefits, it's very unwieldy to use explicit parametric polymorphism to write programs such as this:

```
(define length
  <Λ (τ)
  (lambda (l : list(τ)) : number
    (cond
      [(Empty? <τ> l) 0]
      [(Cons? <τ> l) (add1 (length <τ> (Rest <τ> l)))]))>
```

when we could instead write

```
(define length
  (lambda (l)
    (cond
      [(empty? l) 0]
      [(cons? l) (add1 (length (rest l)))])))
```

As computer scientists, we should ask: Is it possible for a programming environment to convert the latter into the former? That is, can the environment *automatically fill in* the types necessary for the former? This would be the best of both worlds, because the programmer would avoid the trouble of the typing while still getting the benefit of the typing.

While this would be nice, it also seems nearly magical. It seems hard enough for humans to get this right; can a program (the environment) do better? Still, we should not despair too much. We've already seen several instances such as closure creation, garbage collection, and so on, where the language implementation

was able to do a more accurate job than a human could have done, thereby providing a valuable feature while reducing the programmer’s burden. Maybe inserting type annotations could be another of those tasks.

Because this is obviously challenging, let’s try to make the problem easier. Let’s ignore the polymorphism, and just focus on generating types for *monomorphic* programs (i.e., those that don’t employ polymorphism). In fact, just to make life really simple, let’s just consider a program that operates over numbers, such as factorial.

30.1.1 Example: Factorial

Suppose we’re given the following program:

```
(define fact
  (lambda (n)
    (cond
      [(zero? n) 1]
      [true  (* n (fact (sub1 n)))])))
```

We’ve purposely written true instead of **else** for reasons we’ll soon see. It should be clear that using true doesn’t affect the meaning of the program (in general, **else** is just a more readable way of writing true).

If we were asked to determine the type of this function and had never seen it before, our reasoning might proceed roughly along these lines. First, we would name each expression:

```
(define fact
  1(lambda (n)
    2(cond
      [3(zero? n) 41]
      [5true 6(* n 7(fact 8(sub1 n)))])))
```

We would now reason as follows. We’ll use the notation $\llbracket \cdot \rrbracket$ to mean the type of the expression within the brackets.

- The type of the expression labeled 1¹ is clearly a function type (since the expression is **lambda**). The function’s argument type is that of n , and it computes a value with the type of 2. In other words,

$$\llbracket \llbracket 1 \rrbracket \rrbracket = \llbracket n \rrbracket \rightarrow \llbracket \llbracket 2 \rrbracket \rrbracket$$

- Because 2 is a conditional, we want to ensure the following:
 - The first and second conditional expressions evaluate to boolean values. That is, we would like the following to hold:

$$\llbracket \llbracket 3 \rrbracket \rrbracket = \text{boolean}$$

$$\llbracket \llbracket 5 \rrbracket \rrbracket = \text{boolean}$$

¹We’ll need to use this phrase repeatedly, and it’s quite a mouthful. Therefore, we will henceforth say “the type of $\llbracket n \rrbracket$ ” when we mean “the type of the expression labeled by n”.

- We would like both branches of the conditional to evaluate to a value of the same type, so we can assign a meaningful type to the entire conditional expression:

$$\llbracket 2 \rrbracket = \llbracket 4 \rrbracket = \llbracket 6 \rrbracket$$

- What is the type of $\llbracket 3 \rrbracket$? We have a constraint on what it can be:

$$\llbracket zero? \rrbracket = \llbracket n \rrbracket \rightarrow \llbracket 3 \rrbracket$$

Because we know the type of *zero?*, we know that the right-hand-side of the above equality must be:

$$\llbracket n \rrbracket \rightarrow \llbracket 3 \rrbracket = number \rightarrow boolean$$

which immediately tells us that $\llbracket n \rrbracket = number$.

The first response in the **cond** tells us that $\llbracket 4 \rrbracket = number$, which immediately resolves the type of $\llbracket 2 \rrbracket$ and determines the type of $\llbracket 1 \rrbracket$ in atomic terms. That is, the type of *fact* must be $number \rightarrow number$. However, it's worthwhile to continue with this process as an illustration:

- We have a constraint on the type of $\llbracket 6 \rrbracket$: it must be the same as the result type of multiplication. Concretely,

$$\llbracket n \rrbracket \times \llbracket 7 \rrbracket \rightarrow \llbracket 6 \rrbracket = number \times number \rightarrow number$$

- The type of $\llbracket 7 \rrbracket$ must be whatever *fact* returns, while $\llbracket 8 \rrbracket$ must be the type that *fact* consumes:

$$\llbracket 1 \rrbracket = \llbracket 8 \rrbracket \rightarrow \llbracket 7 \rrbracket$$

- Finally, the type of $\llbracket 8 \rrbracket$ must be the return type of *sub1*:

$$\llbracket sub1 \rrbracket = \llbracket n \rrbracket \rightarrow \llbracket 8 \rrbracket = number \rightarrow number$$

30.1.2 Example: Numeric-List Length

Now let's look at a second example:

```
(define nlength
  (lambda (l)
    (cond
      [(empty? l) 0]
      [(ncons? l) (add1 (nlength (nrest l)))])))
```

First, we annotate it:

```
(define nlength
  1 (lambda (l)
    2 (cond
      3 [(empty? l) 4 0]
      5 [(ncons? l) 6 (add1 7 (nlength 8 (nrest l)))])))
```

We can begin by deriving the following constraints:

$$\begin{aligned} \llbracket 1 \rrbracket &= \llbracket l \rrbracket \rightarrow \llbracket 2 \rrbracket \\ \llbracket 2 \rrbracket &= \llbracket 4 \rrbracket = \llbracket 6 \rrbracket \\ \llbracket 3 \rrbracket &= \llbracket 5 \rrbracket = \textit{boolean} \end{aligned}$$

Because $\llbracket 3 \rrbracket$ and $\llbracket 5 \rrbracket$ are each applications, we derive some constraints from them:

$$\begin{aligned} \llbracket \textit{nempty?} \rrbracket &= \llbracket l \rrbracket \rightarrow \llbracket 3 \rrbracket = \textit{numlist} \rightarrow \textit{boolean} \\ \llbracket \textit{ncons?} \rrbracket &= \llbracket l \rrbracket \rightarrow \llbracket 5 \rrbracket = \textit{numlist} \rightarrow \textit{boolean} \end{aligned}$$

The first conditional's response is not very interesting:²

$$\llbracket 4 \rrbracket = \llbracket 0 \rrbracket = \textit{number}$$

Finally, we get to the second conditional's response, which yields several constraints:

$$\begin{aligned} \llbracket \textit{add1} \rrbracket &= \llbracket 7 \rrbracket \rightarrow \llbracket 6 \rrbracket = \textit{number} \rightarrow \textit{number} \\ \llbracket 1 \rrbracket &= \llbracket 8 \rrbracket \rightarrow \llbracket 7 \rrbracket \\ \llbracket \textit{nrest} \rrbracket &= \llbracket l \rrbracket \rightarrow \llbracket 8 \rrbracket = \textit{numlist} \rightarrow \textit{numlist} \end{aligned}$$

Notice that in the first and third set of constraints above, because the program applies a primitive, we can generate an extra constraint which is the type of the primitive itself. In the second set, because the function is user-defined, we cannot generate any other meaningful constraint just by looking at that one expression.

Solving all these constraints, it's easy to see both that the constraints are compatible with one another, and that each expression receives a monomorphic type. In particular, the type of $\llbracket 1 \rrbracket$ is $\textit{numlist} \rightarrow \textit{number}$, which is therefore the type of $\textit{nlength}$ also (and proves to be compatible with the use of $\textit{nlength}$ in expression $\llbracket 7 \rrbracket$).

30.2 Formalizing Constraint Generation

What we've done so far is extremely informal. Let's formalize it.

Constraints relate different portions of the program by determining how they should be compatible for the program to execute without error. Consequently, a single program point may result in multiple constraints. Each set of constraints represents a “wish list” about that particular point in the program. Consequently, a program may lead to contradictory constraints; hopefully we will be able to find these later. One slightly confusing aspect of constraints is that we write them to look like equations, but they reflect

²Note that the 0 inside the $\llbracket \cdot \rrbracket$ is an expression itself, not a number labeling an expression.

what we *hope* will be true, not what we *know* is true. Specifically, they represent what suffices for safe program execution.³

For each expression n in the program's abstract syntax tree, we introduce a variable of the form $\llbracket n \rrbracket$. That is, if the program has the form $(foo\ 1\ 2)$, we would want to introduce variables for 1, 2 and $(foo\ 1\ 2)$. Because abstract syntax tree nodes are unwieldy to write down explicitly, we will associate the node with the expression at that node. We use $\llbracket \cdot \rrbracket$ to represent the type of a node, so the types of the expressions in the example above would be $\llbracket 1 \rrbracket$, $\llbracket 2 \rrbracket$ and $\llbracket (foo\ 1\ 2) \rrbracket$.

Each expression type generates different constraints. We present below a table that relates the type of expression at a node to the (set of) constraints generated for that node. Remember to always read $\llbracket \cdot \rrbracket$ as “the type of the expression” (within the brackets):

Expression at Node	Generated Constraints
n , where n is a numeral	$\llbracket n \rrbracket = number$
true	$\llbracket true \rrbracket = boolean$
false	$\llbracket false \rrbracket = boolean$
$(add1\ e)$	$\llbracket (add1\ e) \rrbracket = \llbracket e \rrbracket = number$
$(+ e1\ e2)$	$\llbracket (+ e1\ e2) \rrbracket = number \quad \llbracket e1 \rrbracket = number \quad \llbracket e2 \rrbracket = number$
$(zero? e)$	$\llbracket (zero? e) \rrbracket = boolean \quad \llbracket e \rrbracket = number$
$(ncons e1\ e2)$	$\llbracket (ncons e1\ e2) \rrbracket = numlist \quad \llbracket e1 \rrbracket = number \quad \llbracket e2 \rrbracket = numlist$
$(nfirst e)$	$\llbracket (nfirst e) \rrbracket = number \quad \llbracket e \rrbracket = numlist$
$(nrest e)$	$\llbracket (nrest e) \rrbracket = numlist \quad \llbracket e \rrbracket = numlist$
$(nempty? e)$	$\llbracket (nempty? e) \rrbracket = boolean \quad \llbracket e \rrbracket = numlist$
$nempty$	$\llbracket nempty \rrbracket = numlist$
$(if\ c\ t\ e)$	$\llbracket (if\ c\ t\ e) \rrbracket = \llbracket t \rrbracket \quad \llbracket (if\ c\ t\ e) \rrbracket = \llbracket e \rrbracket \quad \llbracket c \rrbracket = boolean$
$(lambda\ (x)\ b)$	$\llbracket (lambda\ (x)\ b) \rrbracket = \llbracket x \rrbracket \rightarrow \llbracket b \rrbracket$
$(f\ a)$	$\llbracket f \rrbracket = \llbracket a \rrbracket \rightarrow \llbracket (f\ a) \rrbracket$

Notice that in the two prior examples, we did not create new node numbers for those expressions that consisted of just a program identifier; correspondingly, we have not given a rule for identifiers. We *could* have done this, for consistency, but it would have just created more (unnecessary) variables.

Exercise 30.2.1 *What is the complexity of constraint generation?*

Exercise 30.2.2 *Using the expression at the node, rather than the node itself, introduces a subtle ambiguity. Do you see why?*

30.3 Errors

Here's an erroneous program:

³We use the term “suffices” advisedly: these constraints are sufficient but not necessary. They may reject some programs that might have run without error had the type system not intervened. This is inherent in the desire to statically approximate dynamic behavior: the Halting Problem is an insurmountable obstacle. An important constraint on good type system design is to maximize the set of legal problems while still not permitting errors: *balancing programmer liberty with execution safety*.

```
(define nlsun
  (lambda (l)
    (cond
      [(empty? l) 0]
      [(ncons? l) (+ (nrest l)
                     (nlsun (nrest l)))])))
```

Can you spot the problem?

First, we'll annotate the sub-expressions:

```
(define nlsun
  1 (lambda (l)
    2 (cond
      3 [(empty? l) 4 0]
      5 [(ncons? l) 6 (+ 7 (nrest l)
                        8 (nlsun 9 (nrest l)))])))
```

Generating constraints as usual, we get the following (amongst others):

$$\llbracket 8 \rrbracket = \text{number}$$

because the function returns a number in both branches of the conditional, and

$$\llbracket 9 \rrbracket = \text{numlist}$$

from the type of *nrest*. Consequently, it appears we can infer that the value bound to *nlsun* has the type $\text{numlist} \rightarrow \text{number}$. This is indeed the type we expect for this procedure.

We should not, however, annotate any types before we're generated, examined and resolved *all* the constraints: we must make sure there are *no inconsistencies*. Completing the generation and solution process does, in fact, result in an inconsistency for this program. In particular, we have

$$\llbracket 7 \rrbracket = \text{numlist}$$

from the type of *nrest*, while

$$\llbracket 7 \rrbracket = \text{number}$$

from the type of *+*. Indeed, the latter is the type we want: the *numlist* only materializes because of the faulty use of *nrest*. Had the programmer used *nfirst* instead of *nrest* in the left-hand-side argument to the addition, the entire program would have checked correctly. Instead, the type inference engine must recognize that there is an error resulting from a *type conflict*: the expression *(nrest l)* is expected to have both the type *number* and the type *numlist*. Because these are not compatible types, the type "checker" must present the user with this error.

We use quotes around "checker" because it has, in some sense, disappeared. Instead of checking types annotated by the programmer, the type system now tries to fill in the programmer's annotations. If it succeeds, it can do so only by respecting the types of operations, so there is no checking left to be done. Failure to annotate the program *completely and unambiguously* is now the indication of a type error.

30.4 Example: Using First-Class Functions

We will consider one final example of constraint generation, to show that the process scales in the presence of functions as arguments. Consider the following program:

```
(define nmap
  1 (lambda (f l)
    2 (cond
      3 (nempty? l) 4 nempty]
      5 (ncons? l) 6 (ncons 7 (f 8 (nfirst l))
                          9 (nmap f 10 (nrest l))))))
```

This program generates the following constraints:

$$\llbracket 1 \rrbracket = \llbracket f \rrbracket \times \llbracket l \rrbracket \rightarrow \llbracket 2 \rrbracket$$

We get the usual constraints about boolean conditional tests and the type equality of the branches (both must be of type `numlist` due to the first response). From the second response, we derive

$$\llbracket ncons \rrbracket = \llbracket 7 \rrbracket \times \llbracket 9 \rrbracket \rightarrow \llbracket 6 \rrbracket = \text{number} \times \text{numlist} \rightarrow \text{numlist}$$

The most interesting constraint is this one:

$$\llbracket f \rrbracket = \llbracket 8 \rrbracket \rightarrow \llbracket 7 \rrbracket$$

In other words, we don't need a sophisticated extension to handle first-class functions: the constraint generation phase we described before suffices.

Continuing, we obtain the following three constraints also:

$$\llbracket nfirst \rrbracket = \llbracket l \rrbracket \rightarrow \llbracket 8 \rrbracket = \text{numlist} \rightarrow \text{number}$$

$$\llbracket nmap \rrbracket = \llbracket f \rrbracket \times \llbracket 10 \rrbracket \rightarrow \llbracket 9 \rrbracket$$

$$\llbracket nrest \rrbracket = \llbracket l \rrbracket \rightarrow \llbracket 10 \rrbracket = \text{numlist} \rightarrow \text{numlist}$$

Since `l` is of type `numlist`, we can substitute and solve to learn that `f` has type `number → number`. Consequently, `nmap` has type

$$(\text{number} \rightarrow \text{number}) \times \text{numlist} \rightarrow \text{numlist}$$

which is the type we would desire and expect!